

USING PUBLIC RECORDS TO BACKGROUND

Individuals, Institutions and Issues

PEOPLE PROFILING

PERSONAL

+ vital records

- birth date
- marriage history
- death date
- names of children

+ locators (uses credit headers)

- address history
- phone numbers
- neighbors
- neighborhood profiles / demographics
- relatives & associates (shared addresses)

+ assets (personal property)

- real estate
- cars (registration)
- planes
- boats

+ personal permits

- driving licenses
- aviation
- guns
- hunting / fishing

+ civil records

- tortes (when someone sues someone else)
- domestic
- probate
- mental health
- bankruptcies
- liens (taxes or UCC)
- judgments (government fines)

+ criminal records

- crimes (accidents, thefts, fraud, sex crimes, homicides, etc.)
- traffic violations
- warrants
- "Most Wanted" list
- Megan's List (sex offenders)

- Inmate locators
- + military service
 - locators
 - verification (service and commendation)
 - unit alums
 - deaths / burials
- + political records
 - voter registration (party affiliation and voting history)
 - campaign contributions, gift disclosures, independent expenditures
 - lobbying
 - Congressional record
 - Published government documents (GPO)
- + wealth
 - SEC filings
 - Forbes / Fortune lists
 - News sources
- + professional licenses / permits / certifications / accreditations
 - legal (bar)
 - medical (doctors and veterinarians)
 - architects
 - real estate
 - salons
 - teachers
 - funeral directors
- + memberships / associations (voluntary professional organizations)
- + business ownership
 - local business license
 - local fictitious business name / DBAs
 - secretary of state filings
 - incorporation
 - LP: limited partnership
 - LLC: Limited liability corporation
 - small business associations
 - SEC filings (ownership shares / major stockholders)

BUSINESS PROFILING

- + ownership records
 - local business license
 - local fictitious business name / DBAs

- **secretary of state filings**
 - **incorporation**
 - **LP: limited partnership**
 - **LLC: Limited liability corporation**
 - **small business associations**
 - **SEC filings (ownership shares / major stockholders)**
- + locators (uses credit headers, business directories)**
- **address history**
 - **phone numbers**
 - **neighboring businesses**
 - **neighborhood profiles / demographics**
 - **competition**
- + personnel**
- **executives**
 - **registered agents**
 - **counsel (attorneys)**
 - **lobbyists**
- + assets (property)**
- **real estate**
 - **cars (registration)**
 - **planes**
 - **boats**
- + civil records**
- **tortes (when someone sues someone else)**
 - **bankruptcies**
 - **liens (taxes or UCC)**
 - **judgments (government fines)**
 - **executives' own records**
- + criminal records / violations**
- **crimes (malicious conduct)**
 - **code enforcements**
 - **executives' own records**
- + political records**
- **campaign contributions**
 - **gift disclosures**
 - **independent expenditures**
 - **lobbying**
 - **executives' contributions**
- + wealth**
- **SEC filings**
 - **Forbes / Fortune lists**
 - **News sources**

- + licenses / permits / certifications / accreditations
 - law offices (bar)
 - clinics (doctors and veterinarians)
 - real estate
 - salons
 - schools
 - funeral parlors

- + memberships / associations (voluntary business organizations)

NON-PROFIT / INSTITUTION PROFILING

- + registration / tax filings
 - 990 form (taxes)
 - non-profit designations 501(c)(3) for taxes
 - IRS Publication 78 – 501(c)(3)
 - state attorneys general (charitable trusts)

- + locators (uses credit headers, business directories)
 - address history
 - phone numbers
 - neighborhood profiles / demographics
 - competitive services

- + personnel
 - executives
 - registered agents
 - counsel (attorneys)
 - lobbyists

- + assets (property)
 - real estate
 - cars (registration)
 - planes
 - boats

- + civil records
 - tortes (when someone sues someone else)
 - bankruptcies
 - liens (taxes or UCC)
 - judgments (government fines)
 - executives' own records

- + criminal records / violations
 - crimes (malicious conduct)
 - code enforcements

- **executives' own records**

+ political records

- **campaign contributions**
- **gift disclosures**
- **independent expenditures**
- **lobbying**
- **executives' contributions**

+ licenses / permits / certifications / accreditations

- **law offices (bar)**
- **clinics (doctors and veterinarians)**
- **real estate**
- **schools**